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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,119	Armin Pfenninger	011382.00002

22908
 BANNER & WITCOFF, LTD.
 TEN SOUTH WACKER DRIVE
 SUITE 3000
 CHICAGO, IL 60606

Docketed 9/18/06
 Attny JVC/RHR
 Case Ref 011382.00002
 Action Fees + Oath/Declaration Due
 Due Date 11/11/06
 Last Day 4/11/07
 By M H

INTERNATIONAL APPLICATION NO.	
PCT/CH04/00217	
I.A. FILING DATE	PRIORITY DATE
04/07/2004	04/14/2003

CONFIRMATION NO. 1803

371 FORMALITIES LETTER



OC000000020365190

Date Mailed: 09/11/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/13/2005
- English Translation of the IA filed on 10/13/2005
- Copy of the International Search Report filed on 10/13/2005
- Copy of IPE Report filed on 10/13/2005
- Preliminary Amendments filed on 10/13/2005
- Information Disclosure Statements filed on 10/13/2005
- Oath or Declaration filed on 10/13/2005
- Request for Immediate Examination filed on 10/13/2005
- U.S. Basic National Fees filed on 10/13/2005
- Specification filed on 10/13/2005
- Claims filed on 10/13/2005
- Abstracts filed on 10/13/2005
- Drawings filed on 10/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Surcharge.

Additionally the following defects have been observed:

- Preliminary Amendments have not been entered because of improper format..
- Total additional claim fee(s) for this application is **\$ 360**
 - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

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PART 1 - ATTORNEY/APPLICANT COPY

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